

Action Taken Report (ATR)

Name of Research Analyst:	Vipin Sharma
SEBI Registration No.:	INH000018540
BSE Enlistment No.:	6402
Entity Type:	RA
Financial Year:	2024-2025

Sr. No	Regulation	Particulars	Compliance Status	Reason for non-compliance	Auditor Comments Accepted (Yes/No)	Action taken on adverse findings
1	SEBI Master circular Ref. No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2024/49 (Dated May 21, 2024) Clause 14	Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under: Compliance of aforementioned Clause 14 of master circular by registered Research Analysts	Not-Complied	The Research Analyst was not registered with FIU 20 during the FY 202425	Yes	I have initiated the registration with FIU 20



Signature of RA / Partner / Director:

Date and Place: 27-09-2025